Fabrication and optical properties of periodical structures based on a water-developable and tunable $La_{0.7}Sr_{0.3}MnO_3$ resist[†]

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We have fabricated a unique periodic structure with different optical properties in the visible range using a water-developable $La_{0.7}Sr_{0.3}MnO_3$ electron beam resist. The $La_{0.7}Sr_{0.3}MnO_3$ resist can be developed under a nontoxic and environmentally friendly manner using pure water. Additionally, the resist is capable of exhibiting both positive and negative resist behavior depending on the electron beam dosage. Thus, these special characteristics were used to fabricate and tune periodic structure thin films having controlled optical reflectance properties in the wavelength range of 300 nm to 800 nm with one fixed design electron beam pattern (*i.e.* without changing the geometries and lattice constants of the periodical structures) by simply changing the electron beam dosage only.

Introduction

Recently, considerable interest has been focused on light modulation using periodic structures such as photonic crystals.¹⁻⁶ Yablonovitch⁷ and John⁸ first described photonic crystals in 1987. The structures exhibit photonic band gaps, similar to the electronic band gaps of materials. Therefore, one can control the propagation of light by restricting its allowable directions at certain frequencies or localizing light in specific areas. The technology is expected to have numerous applications in optical communication. Many methods have been developed to fabricate photonic crystals, such as multilayer lithography,⁹ multibeam holographic lithography,¹⁰ self-assembly,¹¹⁻¹³ step and flash imprint lithography,¹⁴ electrochemical deposition,¹⁵ *etc.* Among all the methods, electron beam lithography¹⁶⁻¹⁷ has become the most common method to fabricate 2D photonic crystals and nanoscale patterns, because of its ability to construct high precision structures.

The formation of photonic band gaps, which can be used to guide the propagation of light as well as to enhance spontaneous emission, is due to periodically varying refractive indices. Thus, an electron beam patternable metal oxide with high refractive index will be useful for this process. The nanostructure fabrication of metal oxide materials generally starts with selective etching or template growth on the pre-patterned resist and then lift-off. While these approaches lack the ability to control the construction of the nanostructure accurately, using an electron beam to generate exact nanopatterned metal oxides like ZnO, TiO₂, *etc.*^{18,19} can be much easier. The methods and materials mentioned above all generate negative patterns. There is a zwitter resist,^{20,21} which refers to a resist that can exhibit both positive and negative properties depending on the applied

electron beam dosage. The resist possesses both positive and negative patterning capabilities and will benefit the direct writing technology of the electron beam. In addition, the solvents used in the resist developing process are often volatile and toxic and thus contribute to health hazards and environmental pollution. The solvents even increase the cost of lithography processing. Many studies are directed towards building multifunctional resists with precise nanostructures and at the same time reducing the process hazards and pollution.

Herein we illustrate one unique feature of a patterned $La_{0.7}Sr_{0.3}MnO_3$ film which is able to exhibit both positive and negative resist characteristics. This unique feature then can be used to control the optical reflectance properties of the film within one fixed design pattern without changing the lattice constant, shape and size of the pattern. Our approach provides a simple and convenient alternative for the fabrication of periodical structures with different optical properties in the visible range using a water-developable $La_{0.7}Sr_{0.3}MnO_3$ resist.

Experimental

The electron beam resist was prepared by dissolving 8.58 wt% of lanthanum nitrate (La(NO₃)₃·6H₂O, Acros, 98%), strontium nitrate (Sr(NO₃)₂, Fluka, 98%), manganese nitrate (Mn(NO₃)₂. 4H₂O, Fluka, 97%) and 1.75 wt% polyvinyl alcohol (PVA, Acros, 88%, 22000 g mol⁻¹) in water with a molar ratio of La : Sr : Mn = 0.7:0.3:1.0. The precursor solution was stirred for 48 h at 50 °C. After filtering the precursor solution with syringe filters $(0.22 \,\mu\text{m})$, the precursor solution was spin coated at 3000 rpm for 90 s to give a nominal thickness of about 200 nm, and the resist film appeared to be very smooth with a root-mean-square roughness of 1.02 nm. The thickness of the water-developable La_{0.7}Sr_{0.3}MnO₃ resist coated on the substrate (indium tin oxide conductive glass or silicon wafer) was measured directly by using an alpha-stepper (Veeco, Tektak3). High-resolution nanolithography was performed by using a Hitachi ELS-7500EX machine to write the specific patterns across a $150 \times 150 \ \mu m$ field with a 2.5 nm beam step size. The electron beam writer was operated at 50 kV with a probe current of 1.0 nA. A sample containing a 3×3 array field

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was irradiated with a starting dose time of 1 µs, then with additional dose increments of 0.01, 0.1 and 1 µs per field. Deionized water was used to develop the La_{0.7}Sr_{0.3}MnO₃ resist at 25 °C for 30 s. The refractive indices of the $La_{0.7}Sr_{0.3}MnO_3$ thin films sintered under different conditions were evaluated using a spectral microreflectometer (Mission Peaks Optics, MP100-M) equipped with an optical microscope. The patterned La_{0.7}Sr_{0.3}MnO₃ samples were measured by atomic force microscopy (AFM, Digital Instruments, Dimension-3100 Multimode) for surface morphology and roughness, and the microstructures of the samples were studied by both field-emission scanning electron microscopy (FE-SEM, Elionix, ERA-8800FE, Japan) and confocal microscopy. The confocal microscopy images of the patterned $La_{0.7}Sr_{0.3}MnO_3$ samples were measured by confocal fluorescence microscopy. An argon ion laser (wavelength 488 nm) was used to excite the patterned La_{0.7}Sr_{0.3}MnO₃ samples through a 100× Nikon plane objective (numerical aperture ~ 0.9). A single photon counting photomultiplier tube (PMT) detector was used to detect the intensity of the reflected laser light. The optical properties of the reflectance of the patterned La_{0.7}Sr_{0.3}MnO₃ samples were studied using the transmittance mode of a spectral microreflectometer (Mission Peaks Optics, MP100-M) equipped with an optical microscope. Unpolarized light was focused on the composite film under the glass substrate at a spot size $<30 \mu m$ to measure the interference between the incident and reflected light with wavelengths ranging from ultraviolet to near infrared (250–1000 nm).

Results and discussion

In our previous report²² we have demonstrated that a water developable La_{0.7}Sr_{0.3}MnO₃ electron beam resist exhibits dual negative patterning and positive patterning functions depending on the electron beam dosage. Fig. 1(a)-(d) are atomic force microscope images of La_{0.7}Sr_{0.3}MnO₃ patterns on silicon wafer substrates fabricated using different electron beam dosages. Fig. 1(a) is a negative pattern obtained at a low electron beam dose of 3.2 mC cm^{-2} . Fig. 1(b) is a positive pattern obtained at a medium electron beam dose of 32.0 mC cm⁻². At a high electron dose of 233.6 mC cm⁻², we obtained a negative pattern again. Although the dose of the exposure of this $La_{0.7}Sr_{0.3}MnO_3$ resist is very high in comparison to most organic resists, the La_{0.7}Sr_{0.3}MnO₃ resist generates active electromagnetic functional nanopatterns in one step whereas the use of organic resists to generate La_{0.7}Sr_{0.3}MnO₃ nanopatterns involves three steps: (1) fabricate nanopatterns from organic resist, (2) deposit La_{0.7}Sr_{0.3}MnO₃ on the nanopatterns, and (3) remove organic resist. The use of La_{0.7}Sr_{0.3}MnO₃ will not only reduce the process steps but also use no environmentally harmful organic chemicals as compared with the organic resist.

We observed a very unique and interesting pattern, featuring solid rod center-hollow ring-solid outer, that is 3 patterns of negative-positive-negative, at a medium-high electron beam dose of 134.4 mC cm⁻². How does this unique pattern occur?

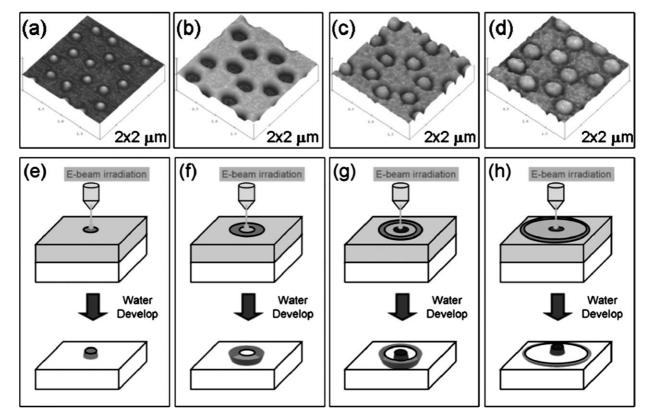


Fig. 1 Atomic force microscope (AFM) images of the $La_{0.7}Sr_{0.3}MnO_3$ patterns on silicon wafer substrates with different electron beam dosages. (a) Negative $La_{0.7}Sr_{0.3}MnO_3$ periodical structure with low dosage (3.2 mC cm⁻²) electron beam irradiation. (b) Positive $La_{0.7}Sr_{0.3}MnO_3$ periodical structure with medium dosage (32.0 mC cm⁻²) electron beam irradiation. (c) Special unique $La_{0.7}Sr_{0.3}MnO_3$ periodical structure with medium-high dosage (134.4 mC cm⁻²) electron beam irradiation. (d) Negative $La_{0.7}Sr_{0.3}MnO_3$ periodical structure with high dosage (233.6 mC cm⁻²) electron beam irradiation. The schematic sketches of pattern formation mechanisms of $La_{0.7}Sr_{0.3}MnO_3$ (e),(f),(g),(h) correspond to AFM images (a),(b),(c),(d) respectively.

We have illustrated the formation mechanisms of the patterns in the lower row of Fig. 1(e)-(f).

We have previously confirmed the formation of the La_{0.7}Sr_{0.3}MnO₃ resist pattern is due to the heat from the electron beam exposure. At the low electron dose, only the exposed area is affected by the crosslinking of the PVA (Fig. 1e) and results in a negative pattern of a regular honeycomb array of La_{0.7}Sr_{0.3}MnO₃ pillars (Fig. 1a). At the medium dose (28.8 mC cm⁻²), the nitrate salt components of the La_{0.7}Sr_{0.3}MnO₃ resist react with the PVA at the exposure area (Fig. 1f). An explosive reaction occurs, so-called auto-ignition,23-26 and then the resist structure will change to form loose particles that can be easily removed by water to form a positive pattern of a regular honeycomb array (Fig. 1b). At the medium-high dose, the centre part of the exposed area receives direct intense electron beam exposure (Fig. 1g) so a negative solid rod pattern is obtained (Fig. 1c). The area next to the center rod is exposed to the heat associated with the electron beam energy propagated to the neighbourhood which is equivalent to the medium dose (Fig. 1g). A hollow ring pattern is formed due to the positive resist behaviour at this energy level (Fig. 1c). The area further from the center is expected to receive a low electron beam dosage (Fig. 1g) which exhibits negative resist behaviour with a solid outer area formation (Fig. 1c). Finally, at the high dose, the area exposed to the electron beam (Fig. 1h) shows a negative pattern with the formation of crystalline La_{0.7}Sr_{0.3}MnO₃ (Fig. 1d). At or above this electron beam thermal energy threshold, the pure phase of La_{0.7}Sr_{0.3}MnO₃ formed as a solid pattern, the neighbouring loose La_{0.7}Sr_{0.3}MnO₃ particles can be removed by water. Our La_{0.7}Sr_{0.3}MnO₃ resist exhibits zwitter resist characteristics, so the height or depth in different patterns will varying with electron dose. The height of the regular honeycomb array of La_{0.7}Sr_{0.3}MnO₃ pillars (Fig. 1a) is 15 nm, and the depth of the positive pattern of the regular honeycomb array is 60 nm (Fig. 1b), Finally, the height of the regular honeycomb array of La_{0.7}Sr_{0.3}MnO₃ pillars (Fig. 1d) is 75 nm.

The refractive index of the electron beam exposed $La_{0.7}Sr_{0.3}MnO_3$ resist is one of the key properties that need to be measured to understand the optical properties of patterned $La_{0.7}Sr_{0.3}MnO_3$. However, the electron beam patterned $La_{0.7}Sr_{0.3}MnO_3$ sample is too small to be measured accurately using conventional ellipsometer or prism coupler methods. We knew from previous work²² that the formation of $La_{0.7}Sr_{0.3}MnO_3$ patterns is due to the thermal reactions of the components in the resist. Therefore, we measured the refractive indices of $La_{0.7}Sr_{0.3}MnO_3$ samples prepared by thermal sintering with an equivalent energy to the electron beam energy. Table 1 summaries

 $\label{eq:Table 1} \begin{array}{l} \mbox{Table 1} & \mbox{Summary of refractive indexes of } La_{0.7}Sr_{0.3}MnO_3 \mbox{ samples prepared under different sintering conditions} \end{array}$

Sample of La _{0.7} Sr _{0.3} MnO ₃ thin film	Sintering conditions	Equivalent to the electron beam dosage/mC cm ⁻²	Refractive index
A		0.0	~2.00
В	150 °C, 0.5 h	1.5-4.0	~ 2.05
С	250 °C, 0.5 h	15.0-35.0	~ 2.05
D	300 °C, 0.5 h	35.0-120.0	~ 2.30
Е	300 °C, 30.0 h	120.0-300.0	~ 2.38

the refractive indices of $La_{0.7}Sr_{0.3}MnO_3$ thin film samples prepared under different sintering conditions. The negative pattern obtained at low electron beam dose has a refractive index of 2.05 whereas the negative pattern obtained at high electron beam dose has a higher refractive index of 2.38. The medium electron dose sample should have a refractive index of 2.05 because the exposed area is removed and the remaining area is a mixture of PVA and $La_{0.7}Sr_{0.3}MnO_3$ precursor salts which is the same as the low electron dose irradiated sample. Thus, we can change the refractive index of the resist by varying the electron beam dosage.

We have used both scanning electron microscopy and confocal microscopy to further study the morphology of the electron beam irradiated resist as shown in Fig 2a, c, e, g and Fig. 2b,

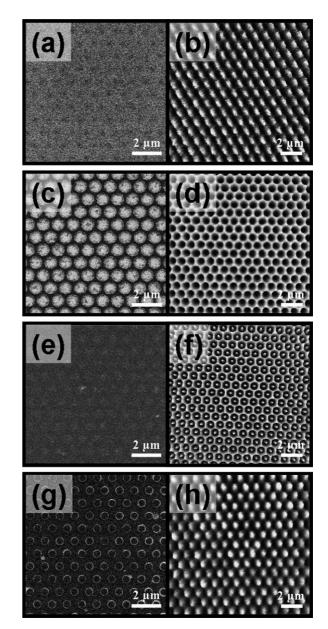


Fig. 2 Scanning electron microscopy photographs (a, c, e, and g) and confocal microscopy images (b, d, f, and h) of $La_{0.7}Sr_{0.3}MnO_3$ periodical structures fabricated from water developable resists at different electron beam dosages: (a,b) 2.2 mC cm⁻², (c,d) 16.0 mC cm⁻², (e,f) 80.0 mC cm⁻², and (g,h) 288.0 mC cm⁻².

(a)

1.2

1.0

0.8

d, f, h respectively. The confocal microscopy images are much clearer than the SEM images due to the small topographic contrast in SEM. The pattern was designed and set at 1000 nm lattice constant and 500 nm diameter. However, different characteristics of patterned La_{0.7}Sr_{0.3}MnO₃ resists were obtained by only varying the electron beam dosage. The results are due to the different chemical reactions occurred in the resist as described above. Fig. 2a,b shows a periodical pillar array with 250 diameter and 1000 nm lattice constant, and the electron beam dosage in this step was 2.2 mC cm⁻². A periodical hole array pattern with 800 nm diameter and 1000 nm lattice constant is shown in Fig. 2c,d, which was obtained at a low electron beam dose of 16.0 mC cm⁻². However, we can observe a special pattern array with the same processing setting at 800 nm diameter and 1000 nm lattice constant at a medium-high electron beam dose of 80.0 mC cm⁻² due to the heat transfer to the neighboring area (Fig. 2e,f). Finally, the La_{0.7}Sr_{0.3}MnO₃ pillar array with 500 nm diameter and 1000 nm lattice constant was obtained at the high electron beam dose of 288.0 mC cm⁻² as shown in Fig. 2g,h.

Traditionally, the optical properties of photonic crystals are modulated either by changing the radius with fixed lattice constant or by changing the lattice constant with fixed radius.

> D~200 Α

> в D~225

> С D~250

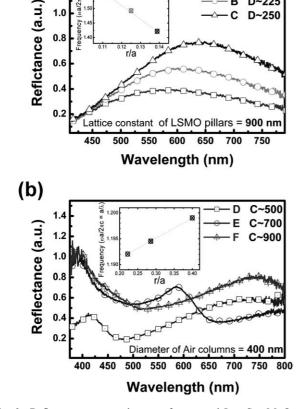


Fig. 3 Reflectance spectra changes of patterned La_{0.7}Sr_{0.3}MnO₃ with different geometries. (a) The patterns with a fixed 900 nm lattice constant and different La_{0.7}Sr_{0.3}MnO₃ pillar diameters: 200, 225, and 250 nm. (b) The patterns with a fixed 400 nm air hole diameter and different lattice constants: 500, 700, and 900 nm. Inserts are the plots of frequency versus r/a.

Therefore, we fabricated two types of La_{0.7}Sr_{0.3}MnO₃ 2D photonic crystals on silicon wafers. One was a pillar structure fabricated at low electron dose and the other was an air column structure fabricated at medium electron dose. They have the size of about 50 \times 50 μ m. For the pillar structure, we studied the reflectance spectra of La_{0.7}Sr_{0.3}MnO₃ photonic crystals with fixed 900 nm lattice constant and different La_{0.7}Sr_{0.3}MnO₃ pillar diameters of 200, 225, and 250 nm respectively. The results are shown in Fig. 3a, the samples were prepared using low dose electron beams, so their refractive indices (samples A, B and C) are about 2.05. Each reflectance curve of the samples was obtained by a spectral microreflectometer equipped with an optical microscope. The reflectance spectra show a red shift of λ_{max} from 580 nm, to 603 nm and 633 nm upon increasing the pillar diameter from 200 nm to 225 nm and 250 nm respectively. Therefore, the reflectance spectra of the 2D La_{0.7}Sr_{0.3}MnO₃ photonic crystals can be adjusted by varying the geometric shape or filling ratio. The insert of Fig. 3a shows the relationship of the frequency $(a|\lambda)$ versus r/a ratio for the samples, which has a negative slope. The result is consistent with the theoretical prediction.²⁷

We used the Maxwell equation to validate the shifting trend of the reflectance of the patterned $La_{0.7}Sr_{0.3}MnO_3$ samples. By considering the light propagation in the x-y plane within the triangular lattice of dielectric columns and the refractive index of the La_{0.7}Sr_{0.3}MnO₃ resist film, the band structures for photonic crystals of La_{0.7}Sr_{0.3}MnO₃ columns with different r/a values were calculated by using the BANDSOLVE program (RSOFT Design Group, Inc). This calculation was performed using the plane-wave expansion method and index guiding in the third dimension.²⁸ The refractive index of La_{0.7}Sr_{0.3}MnO₃ pillars irradiated at 1.5-4.0 mC cm⁻² is about 2.05. Hence, the refractive index of 2.05 for La_{0.7}Sr_{0.3}MnO₃ pillar samples and a domain size of 7×11 unit cells were used in this calculation. In the La_{0.7}Sr_{0.3}MnO₃ pillar structures, the frequency of the gap is decreased as r/a increases. This is expected, because the frequency is related to $1/\sqrt{\varepsilon}$, where ε is the dielectric constant of the medium; when r/a increases, the average dielectric constant of the medium increases and as a result the frequency increases.

Moreover, for the air column structure, the reflectance spectra of La_{0.7}Sr_{0.3}MnO₃ photonic crystals with a fixed 400 nm air column diameter and different lattice constants: 500, 700, and 900 nm (Fig. 3b; samples D, E and F respectively) show a red shift from 417 nm, to 586 nm and 755 nm. Thus, the λ_{max} of the samples increase with increasing lattice constant. The insert in Fig. 3b is the relationship of the frequency $(a|\lambda)$ versus r/a ratio, which is positive. The frequency of the gap increases as r/aincreases in the air column structures. This is also an expected feature, as explained above.

Interestingly, our dual function La_{0.7}Sr_{0.3}MnO₃ resist exhibits additional unique characteristics that can modulate the optical properties of photonic crystals by changing the electron dose only with a fixed column diameter and lattice constant. Fig. 4 shows the reflection spectra of patterned La_{0.7}Sr_{0.3}MnO₃ fabricated with different electron doses using a fixed design of 500 nm diameter and 1000 nm lattice constant. Each curve represents a sample obtained at a specific electron irradiation as shown on the right. The thickness of the La_{0.7}Sr_{0.3}MnO₃ resist coated on the silicon wafer substrate was about 200 nm. The sample size was 50 \times 50 μ m. By increasing the electron beam irradiation,

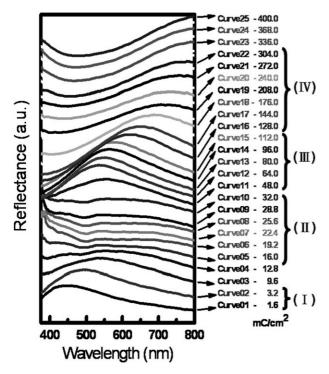


Fig. 4 Reflectance spectra of patterned $La_{0.7}Sr_{0.3}MnO_3$ were changed by varying the electron beam dosage, and the design pattern is fixed at 1000 nm lattice constant and 500 nm diameter. Four ranges of electron beam dose were used. Low dose region (I): 1.6–3.2 mC cm⁻², medium dose region (II): 12.8–32.0 mC cm⁻², medium–high dose region (III): 48.0–112.0 mC cm⁻², and high dose region (IV): 128.0–304.0 mC cm⁻².

we can obtain different geometries of patterned $La_{0.7}Sr_{0.3}MnO_3$ that result in the changes in the reflectance spectra.

First of all, the negative patterned La_{0.7}Sr_{0.3}MnO₃ irradiated at 1.6 and 3.2 mC cm $^{-2}$ show λ_{max} at 445 and 480 nm (Curve 01 and Curve 02) respectively in the low dose region (I). When the positive patterned La_{0.7}Sr_{0.3}MnO₃ was obtained by the increasing electron beam dosage in the range from 16.0 to 32.0 mC cm⁻², the reflectance spectra are shown in the medium dose region (II) (Curve 05-Curve 10). Nevertheless, the patterned La_{0.7}Sr_{0.3}MnO₃ in the medium-high region (III) was transformed from a positive pattern to a negative pattern gradually, when the electron beam dosage was increased to the range of 48.0–112.0 mC cm⁻². The λ_{max} of the reflectance peaks in the region (III) are blue shifted initially (Curve-11 to Curve-12) and then they are red shifted (Curve 12-Curve 15). These behaviors are due to the changes of air column geometry as shown in Fig 2f. In other words, the depth of the air column in patterned La_{0.7}Sr_{0.3}MnO₃ samples increases gradually from Curve 11 to Curve 12 due to the positive characteristics of the resist, then decreases gradually from Curve 12 to Curve 15 due to the gradual transformation of the negative characteristics of the resist. The results reveal that the La_{0.7}Sr_{0.3}MnO₃ resist can easily modulate 2D photonic crystal reflectance spectra in the visible range by varying the z-axis using different electron beam dosages without changing the design pattern of lattice constant and the periodicity along the x- and y-axes. Finally, the negative patterned La_{0.7}Sr_{0.3}MnO₃ was obtained in region (IV) with the electron beam dosage range of 128.0-304.0 mC

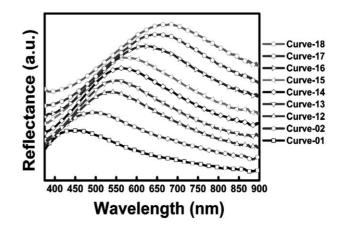


Fig. 5 Modulation of the reflectance spectra in the visible range using $La_{0.7}Sr_{0.3}MnO_3$ periodical structures fabricated by varying the electron beam dosage at a fixed design pattern of 1000 nm lattice constant and 500 nm diameter.

cm⁻², and their reflectance spectra are shown in Curve 16– Curve 22. Therefore, we can easily use a water-developable $La_{0.7}Sr_{0.3}MnO_3$ resist to fabricate periodical structures with different optical properties. This unique feature of the periodic structure of $La_{0.7}Sr_{0.3}MnO_3$ is replotted in Fig. 5 for clear demonstration of visible light modulation.

Conclusions

In summary, we have created unique periodical structures using a water-developable $La_{0.7}Sr_{0.3}MnO_3$ electron beam resist in an environmentally friendly, one-step method. Both positive and negative patterns are readily fabricated by only varying the electron beam dosage. Different reflectance spectra of patterned $La_{0.7}Sr_{0.3}MnO_3$ in the visible light range can be obtained. We would like to stress that our study provides a simple and convenient approach for the fabrication of photonic crystals with adjustable optical properties, which may underpin many optoelectronic or magnetic applications awaiting future exploration.

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